



2836-A
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

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MAY 22, 1997

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100390101A

IMMUNEX CORPORATION
STEPHEN L. MALASKA
LAW DEPARTMENT
51 UNIVERSITY STREET
SEATTLE, WA 98101

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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/28/1997

REEL/FRAME: 8436/0111
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BRASEL, KENNETH

DOC DATE: 03/11/1997

ASSIGNOR:

LYMAN, STEWART D.

DOC DATE: 03/07/1997

ASSIGNOR:

MARASKOVSKY, EUGENE

DOC DATE: 03/19/1997

ASSIGNOR:

MCKENNA, HILARY R.

DOC DATE: 03/18/1997

ASSIGNOR:

LYNCH, DAVID H.

DOC DATE: 03/18/1997

ASSIGNEE:

IMMUNEX CORPORATION
51 UNIVERSITY STREET
LAW DEPARTMENT
SEATTLE, WASHINGTON 98101

COPY

PATENTS ONLY

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kenneth Brasel **David H. Lynch**
Stewart D. Lyman
Eugene Maraskovsky
Hilary R. McKenna

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: March 7, 11, 18, and 19, 1997

2. Name and address of receiving party(ies):

Name: Immunex Corporation

Internal Address: Law Department

Street Address: 51 University Street

City: Seattle State: WA ZIP: 98101

Additional name(s) & address(es) ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

08/725,540

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stephen L. Malaska

Internal Address: Immunex Corporation

Law Department

Street Address: 51 University Street

City: Seattle State: WA ZIP: 98101

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0089

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Stephen L. Malaska

Name of Person Signing



Signature

3/25/97

Date

Total number of pages including cover sheet, attachments, and document:

4

Mailed: March 25, 1997

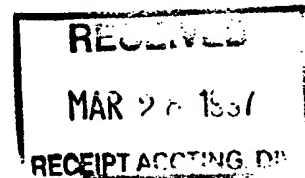
(SLM) Docket No. 2836-A

Commissioner of Patents and Trademarks
BOX ASSIGNMENTS
Washington, DC 20231

The stamp of the United States Patent and Trademark Office hereon indicates receipt of the following:

FORM PTO-1595 (+ copy)
ASSIGNMENT (3 pages)

Applicant: Kenneth Brasel et al.
Serial No: 08/725,540
Filed: October 3, 1996
For: DENDRITIC CELL STIMULATORY FACTOR



Return of this card to the Addressee is requested.

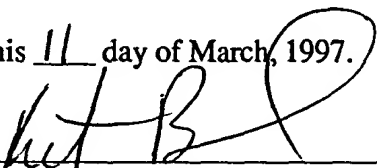
ASSIGNMENT

WHEREAS, We, Kenneth Brasel, residing at 7343 Jones N.W., Seattle, Washington 98117, Stewart D. Lyman, residing at 312 N. 45th Street, Seattle, Washington 98103, Eugene Maraskovsky, residing at 4123 Evanston Avenue N., Seattle, Washington 98103, Hilary R. McKenna, residing at 3809 Whitman Avenue N., Apt. 32, Seattle, Washington 98103 and David H. Lynch, residing at 14180 North Madison Avenue N.E., Bainbridge Island, Washington 98110, are the inventors named in an application for Letters Patent of the United States titled "DENDRITIC CELL STIMULATORY FACTOR" said application filed October 3, 1996;

AND, WHEREAS, IMMUNEX CORPORATION, a corporation of the State of Washington, having a principal place of business at 51 University Street, Seattle, Washington 98101 (hereinafter referred to as ASSIGNEE) is desirous of acquiring the entire right and title to and interest in the invention disclosed in said application;

NOW, THEREFORE, for sufficient, good and valuable consideration, the receipt of which is hereby acknowledged, we do hereby sell, assign, and transfer unto said ASSIGNEE our entire right and title to and interest in said application and said invention(s) and all U.S. and foreign applications and patents on said invention(s) to be held and enjoyed by ASSIGNEE as entirely as the same would have been held and enjoyed by us had this sale, assignment, and transfer not been made, and we do hereby further agree and promise to execute all instruments and render all such assistance as ASSIGNEE may request in order to make and prosecute any and all applications on said invention(s), to enforce any and all patents on said invention(s), and to confirm in ASSIGNEE legal title to said invention(s) and all applications and patents on said invention(s), all without charge to ASSIGNEE but at no expense to us.

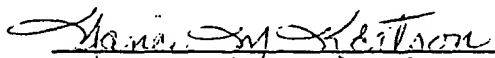
Executed at Seattle, Washington, this 11 day of March, 1997.



Kenneth Brasel

STATE OF WASHINGTON)
) ss.
COUNTY OF KING)

On this 11th day of March, 1997, personally appeared before me Kenneth Brasel, to me known to be the individual named above who executed the within and foregoing instrument, and acknowledged that he signed the same as his free and voluntary act and deed, for the uses and purposes therein mentioned.



Notary Public in and for the State of Washington,
residing at Shoreline, WA.
My commission expires 4/19/99.

Executed at Seattle, Washington, this 7th day of March, 1997.

Stewart D. Lyman
Stewart D. Lyman

STATE OF WASHINGTON)
COUNTY OF KING) ss.

On this 7th day of March, 1997, personally appeared before me Stewart D. Lyman, to me known to be the individual named above who executed the within and foregoing instrument, and acknowledged that he signed the same as his free and voluntary act and deed, for the uses and purposes therein mentioned.

James M. Kerton
Notary Public in and for the State of Washington,
residing at Shodownville.
My commission expires 4/19/99.

Executed at Seattle, Washington, this 19th day of March, 1997.


E. Maraskovsky
Eugene Maraskovsky

STATE OF WASHINGTON)
COUNTY OF KING) ss.


On this 19th day of March, 1997, personally appeared before me Eugene Maraskovsky, to me known to be the individual named above who executed the within and foregoing instrument, and acknowledged that he signed the same as his free and voluntary act and deed, for the uses and purposes therein mentioned.

James M. Kerton
Notary Public in and for the State of Washington,
residing at Shodownville.
My commission expires 4/19/99.

Executed at Seattle, Washington, this _____ day of March, 1997.


Hilary R. McKenna

Hanci M. Kertson
Notary Public in and for the State of Washington,
residing at Woodinville.
My commission expires 4/19/99.



David H. Lynch

James M. Kertson
Notary Public in and for the State of Washington,
residing at Shodunville.
My commission expires 4/19/99.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In the Application of:

Kenneth A. Brasel, Stewart D. Lyman, Eugene
Maraskovsky, Hilary J. McKenna, David H.
Lynch and Charles R. Maliszewski

Docket No.: 2836-D

Group Art Unit: 1644

Examiner: P. Gambel

Serial No: 09/448,378

Filed: November 23, 1999

For: DENDRITIC CELL STIMULATORY FACTOR

Assistant Commissioner of Patents
Washington, D.C. 20231

**ASSENT OF ASSIGNEE TO CORRECTION
AND/OR ADDITION OF INVENTOR**

IMMUNEX CORPORATION, a Corporation of the State of Washington
(Name of Assignee)

residing at 51 University Street, Seattle, Washington 98101
Address

Assignment:



recorded on

March 28, 1997

Reel: 8436

Frame: 0111



recorded herewith

☐ A separate ☐ "Assignment" Cover Sheet is attached.
or

☐ Form PTO 1595 is attached.

Assignee hereby assents to the correction of Inventorship filed



herewith.



on August 1, 2001.

The undersigned (whose title is supplied below) is empowered to sign the statement on behalf of the assignee.

11/9/01
Date

[Signature]
Signature

Barry G. Pea
Typed or Printed Name

Senior Vice President & General Counsel
Title

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

Date: Nov. 9, 2001

Signed: [Signature]
Nanci M. Kertson

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JAN 09 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TECH CENTER 1600/2900

Application of:

Kenneth A. Brasel, Stewart D. Lyman, Eugene
Maraskovsky, Hilary J. McKenna, David H. Lynch
and Charles R. Maliszewski

Docket No.: 2836-D

Group Art Unit: 1644

Examiner: P. Gambel

Serial No: 09/448,378

Filed: November 23, 1999

For: DENDRITIC CELL STIMULATORY FACTOR

STATEMENT UNDER 37 CFR 3.73(b)

IMMUNEX CORPORATION, a corporation of the State of Washington states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

In the patent application identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office on August 1, 2001, at **Reel 8436, Frame 0111** or for which a copy thereof is attached.

or

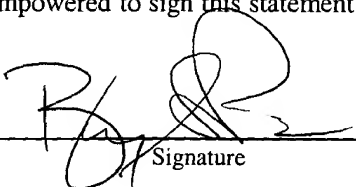
- B. ☐ A chain of title from the Inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

[] Additional documents in the chain of title are noted on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

11/9/01
Date

Signature
Barry G. Pea

Typed or Printed name
Senior Vice President & General Counsel

Title


ASSIGNMENT

WHEREAS, I, Charles R. Maliszewski, residing at Seattle, Washington, am one of several inventors of an invention entitled "DENDRITIC CELL STIMULATORY FACTOR," for which U.S. Patent Application Serial No. 09/448,378 was filed on November 23, 1999;

AND, WHEREAS, IMMUNEX CORPORATION, a corporation of the State of Washington, having a principal place of business at 51 University Street, Seattle, Washington 98101 (hereinafter referred to as ASSIGNEE) is desirous of acquiring the entire right and title to and interest in the invention(s) disclosed in said application;

NOW, THEREFORE, for sufficient, good and valuable consideration, the receipt of which is hereby acknowledged, I do hereby sell, assign, and transfer unto said ASSIGNEE my entire right and title to and interest in said application and said invention(s) and all U.S. and foreign applications and patents on said invention(s) to be held and enjoyed by ASSIGNEE as entirely as the same would have been held and enjoyed by me had this sale, assignment, and transfer not been made, and I do hereby further agree and promise to execute all instruments and render all such assistance as ASSIGNEE may request in order to make and prosecute any and all applications on said invention(s), to enforce any and all patents on said invention(s), and to confirm in ASSIGNEE legal title to said invention(s) and all applications and patents on said invention(s), all without charge to ASSIGNEE but at no expense to me.

Executed at Seattle, Washington, this 7th day of November, 2001.


Charles R. Maliszewski

STATE OF WASHINGTON)
) ss.
COUNTY OF KING)

On this 7th day of November, 2001, personally appeared before me Charles R. Maliszewski, to me known to be the individual named above who executed the within and foregoing instrument, and acknowledged that he signed the same as his free and voluntary act and deed, for the uses and purposes therein mentioned.

Nanci M. Kertson
Nanci M. Kertson
Notary Public in and for the State of Washington
My appointment expires 4/19/03

